

Form 210A (10/06)

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

St. Galler Kantonalbank AG

Name of Transferee

Hyposwiss Private Bank Ltd

Name of Transferor

Name and Address where notices  
to transferee should be sent:

St. Galler Kantonalbank AG  
St. Leonhardstrasse 25  
9000 St. Gallen  
SWITZERLAND

Court Claim # (if known): 40667

Date Claim Filed: 10/16/2009

Amount of Claim: USD 200'000.00

Portion of Claim Transferred (see  
Schedule I): USD 200'000.00

Phone: 0041 71 231 34 13

Last Four Digits of Acct #: 8764

Phone: 0041 231 34 13

Last Four Digits of Acct. #: 5837

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

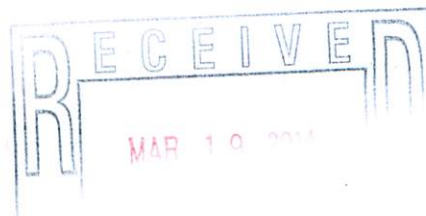
By: Markus Brack

Transferee/Transferee's Agent

Oriana Scheuss

Date: 03/04/2014

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.



**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Nominal Amount of Claim related to Security</b>
XS0340756898	40667	10/16/2009	Lehman Brothers Treasury Co. BV	USD 200'000.00

**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Hyposwiss Private Bank Ltd**, ("Transferor") unconditionally and irrevocably transferred to **St.Galler Kantonalbank AG** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 40667**) of **USD 200'000.00** in nominal value/units related to the security with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON **March 4, 2014**.

**Hyposwiss Private Bank Ltd**

By: 

Name: Anina Zürcher

Title: Member of Management

By: 

Name: Pascal Schmid

Title: Member of Management

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Nominal Amount of Claim related to Security</b>
XS0340756898	40667	10/16/2009	Lehman Brothers Treasury Co. BV	USD 200'000.00



Recommended weight

1 = 0.5 kg
2 = 1 kg
3 = 2 kg
4 = 5 kg
5 = 10 kg
6 = 15 kg
7 = 20 kg
8 = 25 kg

EXPRESS WORLDWIDE  
IntraShip

DOX **DHL**

From St Gallen Kantonalbank AG  
Spedition 090000  
St. Leonhardstrasse 25  
9001 ST. GALLEN POSTAUTO  
CH SWITZERLAND

Origin  
BSL

To Epiq Bankruptcy Solutions, LLC  
Lehman Brothers Holdings Claims  
Third Avenue 757  
3rd Floor  
10017 NEW YORK NEW YORK  
US UNITED STATES OF AMERICA

Phone:  
000

JFKL US-ZYP-TS2

Day Time

Ref code 3630

Account No 150534932

Piece Weight 0.22 kg  
Pickup date 2014-03-15

Piece  
1/1

Content / Commerce Control Statement / RC

Documente

Incoterms : DAP

Imp/Exp Type : permanent

Customs Value : 0.00 CHF  
IV : 0.00 CHF



(J)J001 4600 0000 5294 6653



(2L)US10017+42000000



WAYBILL90 3547 7124

Content  
description:

Account No 150534932

Date:

Ret code 0.22 / 0.22 KG 1/1

Day Time

EXPRESS WORLDWIDE | DOX **DHL**  
10017 NEW YORK, United States  
JFKL US-ZYP-TS2  
Origin:  
BSL

Please reuse



made from  
recycled materials

www.dhl.com

11217 21152 00